

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
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SEP 17 2007

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless directed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for the fee(s) transmittal.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26119 7590 07/26/2007

KLARQUIST SPARKMAN LLP  
121 S.W. SALMON STREET  
SUITE 1600  
PORTLAND, OR 97204

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kyle B. Rinehart (Depositor's name)  
*Kyle B. Rinehart* (Signature)  
September 17, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/401,068	09/22/1999	ALLEN GERSHO	3382-55844-01	4146
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TITLE OF INVENTION: LPC-HARMONIC VOCODER WITH SUPERFRAME STRUCTURE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$280 #1400	\$0	\$0	\$280 #1400	10/26/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
ARMSTRONG, ANGELA A	2626	704-219000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. KLARQUIST SPARKMAN, LLP  
2. \_\_\_\_\_  
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Microsoft Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Redmond, Washington

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 02-4550 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Kyle B. Rinehart*

Date

September 17, 2007

Typed or printed name

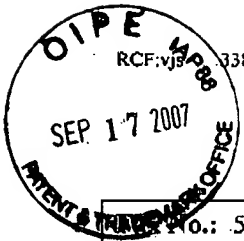
Kyle B. Rinehart

Registration No.

47,027

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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RCF:vjs 3382-55844-01 764905.doc 09/17/07 148422.01

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No.: 571-273-2885

**Total No. Pages:** 2, including this cover sheet

**Message:** Transmitted with this Issue Fee Transmittal for filing in the below-identified application is a PTOL-85b form. If you do not receive all pages or if you have problems receiving transmittal, please call Kyle B. Rinehart at (503) 595-5300.

**In re application of:** Gersho et al.**Application No.** 09/401,068**Filed:** September 22, 1999**Confirmation No.** 4146**For:** LPC-HARMONIC VOCODER WITH  
SUPERFRAME STRUCTURE**Examiner:** Angela A. Armstrong**Art Unit:** 2626**Attorney Reference No.** 3382-55844-01**CERTIFICATE OF FACSIMILE**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being facsimile transmitted to fax number 571-273-2885 on the date shown below.

Attorney or Agent  
for Applicant(s)

Date Transmitted

*[Signature]*  
September 17, 2007

COMMISSIONER FOR PATENTS

**TRANSMITTAL LETTER**

Earlier today, the Applicants filed by facsimile a Transmittal Letter and Form PTOL-85b for the referenced application. The Applicants authorized the Office to charge \$1,700.00 to Deposit Account No. 02-4550 for payment of an issue fee and publication fee. After filing, the Applicants noticed inconsistencies in the documents as filed. The form PTOL-85b indicates the Applicants are a small entity and lists the issue fee due as \$700.00. The Applicants no longer qualify for small entity status. Due to the filing date of the application, no publication fee is due. The Applicants submit herewith corrected documents. Please call the undersigned attorney at (775) 824-0104 if you have any questions about this correspondence.

**Enclosed for filing in the above-referenced application are the following:**

- ☒ In connection with issuance of a patent:
- ☒ Form PTOL-85b
  - ☒ Issue Fee (\$1,400.00)
  - ☒ Assertion that Applicants are no longer claiming small entity status.
- ☒ Please charge our Deposit Account No. 02-4550 in the amount of \$1,400.00.
- ☒ The Director is hereby authorized to charge any additional fees that may be required in connection with issuance of a patent, or credit over-payment, to Account No. 02-4550.

*[Signature]*  
Kyle B. Rinehart  
Registration No. 47,027

*[Signature]*  
Date

cc: Client, Docketing